UNITED STATES DISTRICT COURT EASTERN DISTRICT OF LOUISIANA

In Re: TAXOTERE (DOCETAXEL)
PRODUCTS LIABILITY LITIGATION

MDL NO. 2740

SECTION "N" (5)

THIS DOCUMENT RELATES TO Case #2:16-cv-17731

<u>DEFENDANT SANOFI-AVENTIS U.S. LLC'S OPPOSITION</u> <u>TO PLAINTIFFS' MOTION TO CERTIFY CLASS</u>

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La. Civ. Code Ann. art. 2520
La Civ Code Ann art 3492

LIST OF EXHIBITS

- A. Plaintiff Fact Sheet, Emily Barre, Plaintiff ID 1097
- B. Plaintiff Fact Sheet Supplemental Answers, Emily Barre, Plaintiff ID 1097
- C. Plaintiff Fact Sheet, Debra Chetta, Plaintiff ID 1411
- D. Plaintiff Fact Sheet, Sheila Matthews, Plaintiff ID 1474

I. INTRODUCTION

Sanofi-aventis U.S. LLC markets and sells the prescription chemotherapy agent Taxotere® or docetaxel.¹ Alleging some unidentified defect in Taxotere's formulation, Plaintiffs Sheila Matthews, Debra Chetta, and Emily Barre seek to represent a proposed class of Louisiana women who have permanent hair loss and other injuries resulting from their use of the drug. *See* Motion to Certify Class (Doc. 342) ("Motion") at 15, 26.² Specifically, Plaintiffs assert claims under the Louisiana Products Liability Act and for redhibition, and they seek damages for personal harm, emotional distress, past and future medical expenses, past and future psychological counseling and therapy expenses, past and future loss of earnings, and impairment of the quality and enjoyment of life, among other claimed injuries. Class Action Complaint for Damages, No. 2:16-md-02740, Doc. 1-1 ("Compl."), at ¶¶ II.2, XI.25, XII.11, XIII.10, XIV.9.

But Plaintiffs' formulaic recitation of the elements of class certification, accompanied by only the most scant and passing references to the particular facts and claims at issue in the case at bar, does not come close to satisfying the rigorous and case-specific analysis prescribed by Local

All persons domiciled of and/or within the State of Louisiana, except Defendants' employees and appropriate court personnel involved in the subject action at the district and appellate levels, who sustained legally cognizable injuries and/or damages, including but not limited, economic and non-economic damages, harms, and losses, and including but not limited to: serious and dangerous side effects; severe personal injuries that are permanent and lasting in nature; past and future medical expenses; past and future psychological counseling and therapy expenses; past and future loss of earnings; past and future loss and impairment of earning capacity; permanent disfigurement, including permanent alopecia; mental anguish; severe and debilitating emotional distress; increased risk of future harm; past, present, and future physical and mental pain, suffering, and discomfort; and past, present, and future loss and impairment of the quality and enjoyment of life as a result of the designing, developing, manufacturing, distributing, labeling, advertising, marketing, promoting, and selling of TAXOTERE®, a prescription medication used in the treatment of breast cancer.

¹ Plaintiffs have also named Sanofi S.A. and Aventis Pharma S.A. as defendants. Those entities have filed a motion to dismiss for lack of personal jurisdiction, *see In re Taxotere (Docetaxel) Prods. Liab. Litig.*, MDL No. 2740, Doc. 346 (Apr. 28, 2017), and they do not appear here as part of this opposition.

² In contrast, Plaintiffs' complaint defines the putative class as:

Rule 23.1 and Federal Rule of Civil Procedure 23. This should come as no surprise, however, given the well-nigh universal acceptance that personal injury cases like this one are particularly ill-suited for class treatment. Indeed, issues with respect to alleged product defectiveness, injuries, causation, and damages in such cases are highly individualized, defeating findings of commonality, typicality, adequacy, predominance, and superiority that are required under Rule 23.

Here, too, the members of Plaintiffs' putative class are anything but homogenous. Each individual has had a unique experience with Taxotere®; there is no evidence common to all class members that is sufficient to prove the elements of Plaintiffs' substantive claims with respect to the class as a whole or to demonstrate damages for every single class member. Plaintiff Barre, for example, is unsure whether she was treated with Taxotere® or simply another docetaxel manufactured by a different manufacturer, not sanofi-aventis U.S. LLC,³ requiring collection and review of additional individual medical records. Regardless, she contends that she underwent chemotherapy treatment with several other agents during a six-month period in 2015,⁴ and that she first experienced hair loss after treatment with those other agents.⁵ She claims to have thinning or loss of hair on her scalp and other parts of her body.⁶ She seeks damages for mental or emotional harm,⁻ along with out-of-pocket expenses of approximately \$2,600 for wigs, hair

³ Plaintiff Fact Sheet, Emily Barre, Plaintiff ID 1097 (hereinafter "Barre PFS") (attached as Ex. A), p. 6.

⁴ *Id.*, p. 6, 12-13.

⁵ *Id.* p. 19.

⁶ *Id.* p. 15-16.

⁷ *Id.* p. 17.

regrowth products, and titanium eyeglasses in response to headaches caused by wearing wigs.⁸ She does not seek medical expenses or damages for lost wages or lost earning capacity.⁹

Plaintiff Debra Chetta, in contrast, claims to have been treated with brand-name Taxotere®—not any of its generic equivalents—in 2013.¹⁰ Her dosage and number of treatment cycles allegedly differ from those of Plaintiff Barre.¹¹ Both women, however, contend that they were administered other chemotherapy agents, though those agents were unique to each individual.¹² Plaintiff Chetta claims to have thinning or loss of hair on her scalp and body, although she describes it differently than Plaintiff Barre.¹³ Plaintiff Chetta also contends that she first experienced that hair loss after being administered Taxotere®, whereas Plaintiff Barre experienced it after a different chemotherapy drug.¹⁴ Finally, Plaintiff Chetta seeks damages for mental or emotional harm, medical costs associated with hair restoration dermatology, and out-of-pocket expenses of approximately \$790 for hair growth and thickening products.¹⁵ Like Plaintiff Barre, she does not seek damages for lost wages or lost earning capacity.¹⁶

Plaintiff Sheila Matthews claims that she was treated with Taxotere[®] in 2005 and 2009.¹⁷ Her dosage allegedly differed from both Plaintiff Chetta and Plaintiff Barre.¹⁸ Plaintiff

⁸ Id. p. 18; Plaintiff Fact Sheet Supplemental Answers, Emily Barre, Plaintiff ID 1097 (attached as Ex. B), ¶ 9.

⁹ Barre PFS at p. 18.

¹⁰ Plaintiff Fact Sheet, Debra Chetta, Plaintiff ID 1411 (hereinafter "Chetta PFS") (attached as Ex. C), p. 6, 13.

¹¹ Compare id. p. 13 (6 cycles, 80 mg dosage) with Barre PFS at p. 13 (4 cycles, 100 mg / 1.86 m2 dosage).

¹² Compare Chetta PFS p. 12 (Carboplatin (Paraplatin)) with Barre PFS p. 12 (Cyclophosphamide (Neosar); Doxorubicin (Adriamycin, Doxil)).

¹³ Compare Chetta PFS p. 15-16 with Barre PFS pp. 15-16.

¹⁴ Compare Chetta PFS p. 19 with Barre PFS p. 19.

¹⁵ Chetta PFS p. 18-19, 23

¹⁶ *Id.* p. 18.

¹⁷ Plaintiff Fact Sheet, Sheila Matthews, Plaintiff ID 1474 (hereinafter "Matthews PFS"), Ex. D, p. 6, 12.

 $^{^{18}}$ Compare id. p. 12 (10 cycles, 120 mg / 135 mg dosage) with Chetta PFS p. 13 (6 cycles, 80 mg dosage) and Barre PFS at p. 13 (4 cycles, 100 mg / 1.86 m2 dosage).

Matthews also contends that other chemotherapy drugs were part of her 2009 treatment (but not her 2005 treatment), and she lists the same drugs for that 2009 treatment as does Plaintiff Barre (but not Plaintiff Chetta). She says that she has experienced hair loss on her scalp and body, but describes it differently than both Plaintiff Barre and Plaintiff Chetta. Also unlike either of her putative co-Plaintiffs, it is unclear what damages Plaintiff Matthews seeks, as she claims no lost wages, no mental or emotional harm, no medical expenses, and no out-of-pocket costs. 21

Clearly, then, a host of individual issues permeate Plaintiffs' underlying claims. For example, each putative class member:

- Experienced differing pre-existing health conditions;²²
- Received different diagnoses and prognoses;
- Underwent varying courses of treatment with different combinations of drugs;
- Was prescribed different quantities and concentrations of Taxotere[®];
- Exhibited varying degrees of awareness of, and aversions to, treatment risks;
- Received different advice from his or her treating physician(s);
- Relied on the treating physicians' advice in varying degrees and respects;
- Responded to Taxotere® and other treatment in unique ways, with different degrees of success:
- Experienced differing post-treatment events; and
- Incurred unique, personalized damages (if any), from emotional harm to economic losses.

Plaintiffs' motion ignores these realities, providing no explanation for how each individual's claim and damages can be proven with common evidence. Indeed, Plaintiffs' complaint and motion for class certification are presented in nearly the same generic fashion as those made in a prior case in which this Court appropriately rejected class treatment.²³ Because

¹⁹ Compare id. p. 11 ((Cyclophosphamide (Neosar); Doxorubicin (Adriamycin, Doxil)) with Barre PFS p. 12 (Cyclophosphamide (Neosar); Doxorubicin (Adriamycin, Doxil)).

²⁰ Compare Matthews PFS p. 14-15 with Chetta PFS p. 15-16 and Barre PFS pp. 15-16.

²¹ Matthews PFS p. 14, 17-18.

²² See, e.g., Barre PFS p. 19 (low iron levels); Chetta PFS p. 20 (thyroid condition); Matthews PFS p. 18-19 (none).

²³ See McKinney et al. v. Covidien, Inc., No. 2:12-cv-01242-KDE-DEK (E.D. La.), Motion to Certify Class (Doc. 9, Aug. 15, 2012); Amended Complaint (Doc. 37, Feb. 5, 2013). Given the Court's familiarity with the governing Rule 23 standards and applicability to personal-injury cases, many of the arguments advanced in McKinney are similarly presented here.

Plaintiffs again have failed to meet their burden to explain how this personal-injury litigation can be resolved on a classwide basis, class certification should be denied.²⁴

II. LEGAL ARGUMENT

A. CLASS CERTIFICATION STANDARDS

Rule 23(a) sets forth four requirements that plaintiffs must establish in order to proceed as a class. *See Madison v. Chalmette Refining, L.L.C.*, 637 F.3d 551, 554-55 (5th Cir. 2011) (noting that the party seeking certification bears the burden to demonstrating compliance with Rule 23). Under 23(a), a class must: "(1) be so numerous that joinder of all members is impracticable [numerosity]; (2) have common questions of fact or law [commonality]; (3) have representative parties with typical claims or defenses [typicality]; and (4) have representative parties that will fairly and adequately protect the interests of the proposed class [adequacy]." *In re Am. Comm'l Lines, LLC*, 2002 WL 1066743, at *2 (E.D. La. May 28, 2002). "If the Rule 23(a) criteria are satisfied, the plaintiffs must show that class treatment is appropriate under one of three alternative class categories prescribed by Rule 23(b)." *In re FEMA Trailer Formaldehyde Prods. Liab. Litig.*, 2008 WL 5423488, at *3 (E.D. La. Dec. 29, 2008). In this case, Plaintiffs seek certification under Rule 23(b)(3), which requires them to show that common questions of law or fact predominate over individual questions, and that a class action is superior to other methods for fairly and efficiently adjudicating the controversy. *Id.* at *3.

Class certification is not warranted in every case. Thus, "[a] district court must conduct a rigorous analysis of the rule 23 prerequisites before certifying a class." *Castano v. Am. Tobacco Co.*, 84 F.3d 734, 740 (5th Cir. 1996). Part of this "rigorous analysis" entails a review of the merits of Plaintiffs' claims because "the class determination generally involves considerations

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²⁴ Sanofi-aventis U.S. LLC reserves the right to challenge the sufficiency of Plaintiffs' complaint under PTO No. 1 and Fed. R. Civ. P. 12.

that are enmeshed in the factual and legal issues comprising the plaintiff's cause of action." Wal-Mart Stores, Inc. v. Dukes, 564 U.S. 338, 351 (2011) (quotations and alterations omitted). See also id. at 350 ("Rule 23 does not set forth a mere pleading standard."); In re Am. Comm'l Lines, LLC, 2002 WL 1066743, at *2 ("The court may look past the pleadings to the record and any other completed discovery to make a determination as to the class certification issue.").

Given these instructions, courts have long concluded that personal-injury cases including those in medical products liability litigation—raise a host of individualized issues that preclude class certification. See, e.g., In re FEMA, 2008 WL 5423488, at *16 ("[T]he very nature of a personal injury case is that it is personal (i.e., the alleged injury varies from person to person, as well as what caused or contributed to the alleged injury) [and therefore] individualized issues . . . predominate over common issues."); In re Vioxx Prods. Liab. Litig., 239 F.R.D. 450, 463 (E.D. La. 2006) (denying class certification in personal-injury case against manufacturer of prescription pain reliever); In re Am. Comm'l Lines, LLC, 2002 WL 1066743, at *12 (plaintiffs' personal-injury claims "focus . . . almost entirely on facts and issues specific to individuals, rather than as to the class as a whole."); Kemp v. Metabolife Int'l Inc., No. 00-cv-3513, 2002 WL 113894, at *5 (E.D. La. Jan. 25, 2002) (denying class certification as to claims regarding appetite suppressant and noting that "personal injuries are claimed, implicating numerous individual issues of causation, affirmative defenses and damages"); Zinser v. Accufix Research Inst., Inc., 253 F.3d 1180, 1189 (9th Cir. 2001) (affirming denial of class certification involving allegedly defective pacemakers in part because "it is inescapable that many triable individualized issues may be presented [regarding] causation and damages"); In re Am. Med. Sys., 75 F.3d 1069, 1074 (6th Cir. 1996) (certification of class of users of penile implants was improper because "complications . . . may be due to a variety of factors, including surgical error, improper use of the device, anatomical incompatibility, infection, device malfunction, or psychological problems."); *Abraham v. WPX Prod. Prods., LLC*, 317 F.R.D. 169, 231 (D.N.M. 2016) (given the individualized issues with respect to personal injury claims, "class certification of mass tort claims is now exceedingly rare."); *In re Conagra Peanut Butter Prod. Liab. Litig.*, 251 F.R.D. 689, 698 (N.D. Ga. 2008) (noting "the enormous hurdle" to certification of personal-injury classes given the "significant individualized questions going to liability and the need for individualized assessments of damages."); *Benner v. Becton Dickinson & Co.*, 214 F.R.D. 157, 168 (S.D.N.Y. 2003) ("All relevant Court of Appeals and the bulk of relevant district court cases have rejected class certification in products liability cases.") (quotations and alterations omitted).

B. PLAINTIFFS' COMPLAINT DOES NOT SUFFICIENTLY PLEAD CLASS ALLEGATIONS UNDER L.R. 23.1

As an initial matter, however, Plaintiffs' complaint does not satisfy Local Rule 23.1—let alone provide a basis for certification under Fed. R. Civ. P. 23. Rather than set forth any grounds for a finding of adequacy, commonality, and predominance, *see* L.R. 23.1(A)(2)-(4), the complaint essentially parrots those legal standards. *See* Compl. Part II, ¶¶ 2-4. This Court has dismissed similar pleadings under L.R. 23.1 because they only assert that a class should be certified. *See McKinney v. Covidien, Inc.*, No. 2:12-cv-01242, Doc. 55 (E.D. La. Apr. 25, 2013); *Ditcharo v. United Parcel Service*, No. 2:08-cv-03648, 2009 WL 3199167, at *2-3 (E.D. La. Sept. 30, 2009); *Majoria v. United Parcel Service, Inc.*, No. 06-cv-11266, 2008 WL 169776, at *1-2 (E.D. La. Jan. 17, 2008). Moreover, given that Plaintiffs' counsel was also counsel in the *McKinney* action, counsel was presumably aware of the complaint's deficiency in this case. Dismissal of the class allegations should thus be with prejudice. *See Ditcharo*, 2009 WL 3199167, at *2 ("[G]iven the procedural background of this dispute, the Court declines to allow

Plaintiffs an opportunity to amend [to bring the complaint into compliance with Local Rule 23.1].").²⁵

C. PLAINTIFFS HAVE ADDITIONALLY FAILED TO SATISFY THE REQUIREMENTS OF FED. R. CIV. P. 23

But even if the Court accepts Plaintiffs' ill-pleaded complaint, they have failed to demonstrate that their class should in fact be certified. To the contrary, their motion merely sets forth generalizations about Rule 23, without any corresponding facts or analysis as to why each of their four substantive claims are capable of classwide resolution. *See In re Am. Med. Sys., Inc.*, 75 F.3d at 1079 ("Mere repetition of the language of Rule 23(a) is not sufficient. There must be an adequate statement of the basic facts to indicate that each requirement of the rule is fulfilled."); *Foster v. St. Jude Med., Inc.*, 229 F.R.D. 599, 603 (D. Minn. 2005) (denying class certification when plaintiffs' motion was "much like a map that identifies the destination (*i.e.*, the relief) but lacks the directions (*i.e.*, the legal theories)"). The appropriately "rigorous analysis" of the certification standards in light of Plaintiffs' claims demonstrates why certification is wholly inappropriate in this case.

1. Commonality

A class may only be certified if there are one or more issues of law or fact that are common to the class as a whole. Fed. R. Civ. P. 23(a)(2). But the commonality requirement demands more from Plaintiffs than a mere recitation of a question that is purportedly common to the class "because any competently crafted class complaint literally raises common questions." *Stuckenberg v. Perry*, 675 F.3d 832, 840 (5th Cir. 2012) (quoting *Dukes*, 564 U.S. at 349). Instead, "the claims of every class member must 'depend upon a common contention . . . of such a nature that it is capable of classwide resolution—which means the determination of its truth or

²⁵ Repleading would also be futile. As explained in Part III.C., class certification is factually and legally inappropriate in this case irrespective of how Plaintiffs have pleaded the certification requirements.

falsity will resolve an issue that is central to the validity of each one of the claims in one stroke." *Id.* (quoting *Dukes*, 564 U.S. at 350).

Here, Plaintiffs simply recite the same litany of purported "common" questions that are insufficient under *Stuckenberg* and *Dukes*. ²⁶ *See*, *e.g.*, *Baricuatro v. Indus. Personnel & Mgmt. Servs.*, *Inc.*, No. 11-cv-2777, 2013 WL 6072702, at *5 (E.D. La. Nov. 18, 2013) ("Most of the plaintiffs' proffered common questions fail the test for commonality under *Dukes*."); *Haley v. Merial*, *Ltd.*, 292 F.R.D. 339, 348 (N.D. Miss. 2013) ("[T]he recitation of questions . . . alone does not satisfy commonality."). For example, "[w]hether Defendants' conduct violates the Louisiana Products Liability Act," *see* Mot. at 20, is hardly an issue that can be answered on a classwide basis, as liability will turn on individualized proof regarding each class member's decision-making process and personal injuries. *See also Martin v. Home Depot U.S.A.*, *Inc.*, 225 F.R.D. 198, 200 (W.D. Tex. 2004) (no commonality, even when all class members owned the allegedly defective products and had a shared interest in resolving questions of liability, because "the individualized nature of [the class members'] claims prevents the simultaneous resolution of all or a significant portion of the potential class's complaints.") (quotations omitted).

Similarly, questions regarding whether sanofi-aventis U.S. LLC knew of or warned against Taxotere's alleged risks, *see* Mot. at 20, are also insufficient to demonstrate commonality because the issue is whether Taxotere[®] in fact caused harm to particular class members. As one court has explained:

Resolution of the . . . question of whether the subject [products] are capable of causing the damage alleged by [plaintiffs] does not show commonality . . . [because] the question is not whether [the products] have

²⁶ Plaintiffs further and erroneously cite to pre-*Dukes* case law to argue that the test for both commonality and typicality are "not demanding." Mot. at 21. This proposition is no longer good law after *Dukes*. *See Stuckenberg*, 675 F.3d at 839-40.

the capacity to cause harm, but rather the highly individualistic inquiry of whether [they] did cause harm and to whom.

In re Ford Motor Co. Ignition Switch Prods. Liab. Litig., 194 F.R.D. 484, 490 (D.N.J. 2000).²⁷

Finally, "'[c]ommonality requires the plaintiff to demonstrate that the class members 'have suffered the *same* injury." *Stukenberg*, 675 F.3d at 840 (emphasis added). But the Plaintiffs in this case assert various injuries, from emotional harm to loss of wages to medical expenses. That the named Plaintiffs themselves exhibit unique harm underscores the point. For all of these reasons, commonality is not satisfied in this case.

2. Typicality

Rule 23(a)(3) requires the claims or defenses of the class representatives be typical of the claims or defenses of the class. Fed. R. Civ. P. 23(a)(3). The typicality requirement "focuses on the similarities between the named plaintiffs' legal and remedial theories and the theories of those whom they purport to represent." *In re FEMA*, 2008 WL 5423488, at *7. To satisfy typicality, "a class representative must be a part of the class and possess the same interest and suffer the same injury as class members." *Id.* A class may not be certified when Plaintiffs make only "conclusory assertion[s] that their claims are typical of those of the class." *Majoria*, 2008 WL 169776, at *1.

Here, the causation and injury elements of Plaintiffs' claims involve various individual issues. There is no question that each of these cases will present different medical histories, different product use histories, different prescriber testimony, different expert opinions (especially on specific causation), and different damages, among other differences.

²⁷ Plaintiffs also mistakenly include a purported common question with respect to unjust enrichment, a claim that is not pleaded in their complaint. Mot. at 20.

Causation in these cases hinges on numerous individual factors: family history, hair care, age and hormonal status, other medical conditions, stress, diet, plaintiff's chemotherapy regimen, and exposure to other drugs.

Contrary to Plaintiffs' blanket assertion that "Plaintiffs and Class Members sustained the same injuries and damages arising out of Defendants' conduct in violation of the law," see Mot. at 22, these issues require an examination of evidence on a member-by-member basis. See In re FEMA, 2008 WL 5423488, at *8 (finding no typicality because the lawsuit "involves factual variations as to each Plaintiff and proposed class representative which spawn individual issues relating to injury and causation as to each individual"); In re Vioxx Prods. Liab. Litig., 239 F.R.D. at 460 (no typicality in a prescription-drug personal-injury case because it involved a vast number of persons who took different medicine dosages at different times and possibly concomitantly with other drugs); Shular v. LVNV Funding LLC, 2016 WL 685177, at *11 (S.D. Tex. Feb. 18, 2016) ("Absent evidentiary showing of similarity between his claims and the claims of the putative class members, plaintiff has failed to establish that the legal and remedial theories applicable to his claim would also be applicable to the claims of the class members. Accordingly, plaintiff has failed to establish Rule 23(a)'s typicality requirement."). Moreover, none of the named Plaintiffs claim any damages for lost wages or earning capacity, making their circumstances atypical of those putative class members who do assert such injuries. Shepherd v. Vintage Pharmaceuticals, LLC, 310 F.R.D. 691, 698 (N.D. Ga. 2015) (no typicality when the class representative alleges different injuries than those of other putative class members).

3. Adequacy

The adequacy inquiry seeks to limit the conflicts of interest between the class representatives and other members of the putative class, as well as ensure the zeal and

competency of class counsel. *Amchem Prods., Inc. v. Windsor*, 521 U.S. 591, 625 (1997); *In re Vioxx Prods. Liab. Litig.*, 239 F.R.D. at 460. Plaintiffs must demonstrate that the class representatives will prosecute the action vigorously, and that their interests are sufficiently similar to those of the class as a whole. *See In re FEMA*, 2008 WL 5423488, at *9-10. Differences between the named plaintiffs and class members that create conflicts as to their respective interests preclude a determination of adequacy. *Berger v. Compaq Computer Corp.*, 257 F.3d 475, 480 (5th Cir. 2001). In this way, the adequacy requirement is linked to the typicality requirement. *See In re FEMA*, 2008 WL 5423488, at *11 (holding that Plaintiffs' failure to establish typicality also precludes a finding of adequacy); *In re Vioxx Prods. Liab. Litig.*, 239 F.R.D. at 460 ("[T]he adequate representation requirement overlaps with the typicality requirement because in the absence of typical claims, the class representative has no incentive to pursue the claims of the other class members.")

In this case, the same differences among putative class members that preclude findings of commonality and typicality also bar a determination of adequacy. See In re FEMA, 2008 WL 5423488, at *11 ("Because the Court finds that the proposed class representatives do not have claims typical of class members' claims, the representatives cannot be said to adequately represent those same members."). Importantly, "[a]dequacy of the representation of the class cannot be presumed." In re Am. Comm'l Lines, LLC, 2002 WL 1066743, at *9. Yet Plaintiffs here have failed to put forth sufficient evidence or explanation that would demonstrate the requisite degree of similarities between the proposed class representatives and all other putative class members. They have likewise not established that the proposed class representatives will be adequately engaged in the litigation. See Baricuatro, 2013 WL 6072702, at *8 (determining that adequacy requirement was not satisfied when "the plaintiffs have pointed the Court to no

evidence whatsoever that any of the three proposed class representatives [will] take an active role in controlling the litigation and prosecuting it in a manner that will protect the interests of all class members."). *See also Coleman v. Sears Home Improvement Prods., Inc.*, 2017 WL 1064965, at *6 (E.D. La. Mar. 20, 2017) (no adequacy when plaintiffs' counsel "displayed a lack of competency in preparing the case on behalf of the class) (quotations omitted).

4. Predominance

The predominance inquiry "entails identifying the substantive issues that will control the outcome, assessing which issues will predominate, and then determining whether the issues are common to the class." *Madison*, 637 F.3d at 555. This "prevents the class from degenerating into a series of individual trials." *Id.* (quotations omitted). "To predominate, common issues must constitute a significant part of the individual cases." *Altier v. Worley Catastrophe Response*, LLC, 2011 WL 3205229, at *15 (E.D. La. July 26, 2011) (quotations omitted). The predominance requirement is a "demanding" standard "because it 'tests whether proposed classes are sufficiently cohesive to warrant adjudication by representation." *Steering Comm. v. Exxon Mobil Corp.*, 461 F.3d 598, 601-02 (5th Cir. 2006) (quoting *Amchem*, 521 U.S. at 623-24). Similarly, whether a question is common, and whether common questions predominate, entail "rigorous" analyses. *Id.* (commonality); *Steering Comm.*, 461 F.3d at 603 (predominance).

This case—as with most pharmaceutical or medical device products liability cases—presents a vast array of individualized factual and legal issues that eclipse any claimed common issues and defeat a finding of predominance. *See In re Panacryl Sutures Prods. Liab. Cases*, 263 F.R.D. 312, 323 (E.D.N.C. 2009) ("Courts have generally found that common questions of fact do not predominate in medical products liability cases.") (citing cases); *Kemp*, 2002 WL 113894, at *3 (E.D. La. Jan. 25, 2002) (in diet supplement case, stating that "product liability class actions generally do not meet the predominance requirement."); *In re Vioxx*, 239 F.R.D. at 461

("[C]ourts have almost invariably found that common questions of fact do not predominate in pharmaceutical drug cases."); *In re Am Med. Sys.*, 75 F.3d at 1084-85 ("[I]n medical device products liability litigation . . . the factual and legal issues often do differ dramatically from individual to individual because there is no common cause of injury.").

Each of Plaintiffs' three theories of liability under the LPLA, for example, requires proof that Taxotere® is (1) unreasonably dangerous and (2) is the proximate cause of (3) each putative class member's injuries. La. Rev. Stat. Ann. § 9:2800.54. This proof, however, will be unique to each individual. See Brandner v. Abbott Laboratories, Inc., 2012 WL 195540, at *5 (E.D. La. Jan. 23, 2012) (a determination of specific causation under the LPLA involves individualized issues such as "an individual's family and medical history; age; gender; diet; the timing of ingestion of the product; whether that individual suffered an injury, [and] when the injury occurred," which predominate over any common issues) (alterations omitted). For example, individualized evidence will be necessary to show that each putative class member was in fact administered Taxotere[®], ²⁸ her specific dosage and course of treatment with Taxotere[®], the extent to which other chemotherapy drugs were also part of that treatment, and any other risk factors for alopecia. In re FEMA, 2008 WL 5423488, at *11-14 (individual issues regarding exposure and degree of physical injury predominated over any common issues); In re Phenylpropanolamine (PPA) Products Liab. Litig., 208 F.R.D. 625, 633 (W.D. Wash. 2002) ("[T]he number of individual questions posed by the proposed classes clearly overwhelm any common ones," such as whether PPA contains a defect and when the defendants should have been aware of an association between any defects and the class members' injuries); Shepherd, 310 F.R.D. at 698 (no predominance because "[e]ach plaintiff must show in an individualized manner which

²⁸ Plaintiff Barre, for example, is unsure whether she was treated with Taxotere® or simply another docetaxel manufactured by an entity unrelated to sanofi-aventis U.S. LLC. *See* Barre PFS at p. 6.

physical symptoms she suffered, her medical history, and whether he use of any allegedly defective product resulted in these physical symptoms"); *Crutchfield v. Sewerage & Water Bd. of New Orleans*, 829 F.3d 370, 377 (5th Cir. 2016) (individual questions regarding causation predominated in case involving property damage and emotional harm from flooding); *In re Am. Comm'l Lines*, 2002 WL 1066743, at *12 (individual issues relating to causation and damages, including extent of exposure to the alleged toxin, defeated predominance notwithstanding any common issues concerning the defendant's conduct); *Steering Comm.*, 461 F.3d at 603 (concluding that predominance is lacking because each individual "must meet his or her own burden of medical causation").

In addition, Plaintiffs' failure-to-warn claim hinges on the knowledge of each putative class member's treating physician, as well as the reasons that her physician prescribed Taxotere. See Willett v. Baxter Intern., Inc., 929 F.2d 1094, 1098-99 (5th Cir. 1991). This adds another layer of individualized proof that cannot be employed classwide. Accord In re Am. Med. Sys., 75 F.3d at 1085 (no predominance when each class member received different information from his treating physician); In re Vioxx, 239 F.R.D. at 461 (holding that plaintiffs' failure-to-warn allegations turn on individualized issues, such the manufacturer's knowledge of the risks of the Plaintiffs' alleged injury, what the manufacturer told physicians and consumers about those risks, what the plaintiffs' physicians knew about these risks, and whether the plaintiffs' physicians would still have prescribed the product had stronger warnings been given); In re Panacryl Sutures Prods. Liab. Cases, 263 F.R.D. at, 324 (no predominance because "Plaintiffs' failure to warn claims will . . . involve individualized issues such as the nature of each plaintiff's alleged injury, the warning provided with respect to each injury, and the knowledge of each class member's surgeon with respect to the risks of [the product]").

Similarly, Plaintiffs' breach-of-warranty claim requires evidence of: (1) the existence of an express warranty; (2) the falsity of that warranty; (3) the warranty induced the putative class member to use the product; and (4) proximate damage. *See* La. Rev. Stat. Ann. § 9:2800.58. Again, this calls for individual proof, this time relating to communications between sanofiaventis U.S. LLC and each putative class member, along with the unique reasons why each putative class member decided to accept treatment with Taxotere[®].²⁹ And Plaintiffs' claim for redhibition is no more amenable to class treatment. A redhibitory defect is one that renders the product useless or so diminishes its value that it can be presumed that a buyer would not have bought it or would have bought it at a lesser price, La. Civ. Code Ann. art. 2520, which depends on the effectiveness and side effects of Taxotere[®] for each putative class member. *See*, *e.g.*, *Brandner*, 2012 WL 195540, at *8 (no predominance with respect to claim for redhibition because individual proof is required to show that each class member purchased a defective product).

In addition, affirmative defenses—including whether each putative class member's claim is barred by the statute of limitations—injects more individuality in this case, further demonstrating the lack of predominance. This individuality is aptly demonstrated by the named Plaintiffs here. Plaintiff Matthews, for example, claims to have been treated with Taxotere®—and therefore to have suffered injury—as far back as 2005. *See* Matthews PFS p. 12-13. Her claims have therefore long been time-barred by Louisiana's one-year statute of prescription. *See* La. Civ. Code Ann. art. 3492. The same is true of Plaintiff Chetta, who claims treatment ending in 2013. *See* Chetta PFS p. 13-14. But Plaintiff Barre alleges that her treatment occurred as

²⁹ The differences among the named Plaintiffs emphasizes the point: Unlike Plaintiffs Barre and Chetta, Plaintiff Matthews does not claim she ever saw any advertisements or written instructions for Taxotere[®]. *Compare* Matthews PFS p. 16 *with* Chetta PFS p. 17 *and* Barre PFS p. 17.

recently as June 2015, potentially bringing her 2016 Complaint within the prescription period. *See* Barre PFS p. 13-14. However resolved, these questions of timeliness turn on individual inquiries and are necessarily unsuitable for class treatment. *See Welch v. Atlas Roofing Corp.*, 2007 WL 3245444, at *7 (E.D. La. Nov. 2, 2007) ("[I]ndividual determinations [regarding Louisiana's prescriptive period] would clearly predominate over issues common to the class."); *Corley v. Entergy Corp.*, 220 F.R.D. 478, 487 (E.D. Tex. 2004) ("[A] statute of limitations defense is fact-intensive and individualized. The presence of this affirmative defense and its varying applicability may defeat predominance and thus preclude class certification.") (citations omitted).

Finally, the scope of alleged injuries and claimed damages in this case are so varied as to further defeat predominance. *See Baricuatro*, 2013 WL 6072702, at *10 ("[W]hen the issue of damages is brought into the mix, the predominance of individualized issues over common ones is so overwhelming as to be beyond the bounds of reasonable debate."). The extent of each putative class member's alleged hair loss, her accompanying emotional harm (if any), the amount of her lost wages or future earning capacity (which hinge on her particular job), the amount any out-of-pocket costs, and the degree of medical expenses she incurred are entirely unique to each putative class member. Courts have recognized predominance is lacking when individualized inquiries such as these pervade the calculation of damages. *See, e.g., Brandner*, 2012 WL 195540, at *5 (individual issues with respect to emotional damages predominate over any common ones); *Allison v. Citgo Petroleum Corp.*, 151 F.3d 402, 417 (5th Cir. 1998) (damages for emotional harm "implicates the subjective differences of each plaintiff's circumstances; they are an individual, not class-wide, remedy."); *Steering Comm'ee*, 461 F.3d at 602 (damages for emotional and other intangible injuries implicate subjective and individualized

proof, and contribute to a lack of predominance); *In re Vioxx*, 239 F.R.D. at 462 (damages for emotional harm weighs against a finding of predominance); *Crutchfield*, 829 F.3d at 378 (economic damages could not be calculated using a single formulaic approach, and the fact that emotional distress damages were also sought further undermined a determination of predominance); *Steering Comm'ee*, 461 F.3d at 602 (no predominance when "in addition to the personal injury claims, separate types of proof would be necessary for the [economic loss] claims."); *In re Katrina Canal Breaches Consol. Litig.*, 258 F.R.D. 128, 134-36 (E.D. La. 2009) (putative class seeking damages for personal injury, emotional harm, and loss to personal property did not satisfy predominance requirement). *Accord In re Chinese-Manufactured Drywall Prods. Liab. Litig.*, MDL No. 2047, No. 2:09-md-02047, 2017 WL 1421627, at *15-20 (E.D. La. Apr. 21, 2017) (distinguishing drywall products liability case from other personal injury products liability class actions, and noting that property damage could be proved classwide via statistical modeling).

Damages claimed under Plaintiffs' redhibition theory are likewise incapable of class resolution. Plaintiffs seek a refund of the purchase price of Taxotere®, including any insurance co-payments. Given the unique proof required in support of these alleged damages, any common issues cannot be said to predominate. *Welch v. Atlas Roofing Corp.*, No. 2:07-cv-2711, 2007 WL 3245444, at *6 (E.D. La. Nov. 2, 2007) (because redhibition entitles a plaintiff to either rescission or a reduction of the purchase price, depending on the product's usefulness to that particular plaintiff, individual damages analysis defeat a finding of predominance). *Accord Haley*, 292 F.R.D. at 356, 360 (individualized proof regarding price paid and whether class members received the benefit of their bargain defeat a finding of predominance); *Shepherd*, 310 F.R.D. at 701 (same, regarding pharmaceutical product).

As this Court has explained:

Each [putative class member] has suffered an individual physical injury that is specific to that particular individual, precluding the predominance of issues relating to the Plaintiffs themselves. Also, the personal injury claims among Plaintiffs vary greatly. Considering the foregoing, this Court concludes that Plaintiffs' claims will, to a significant degree, be individualized with respect to causation and will include individual issues of exposure, susceptibility to illness, and types of physical injuries.

In re FEMA, 2008 WL 5423488, at *13. For all these reasons, Plaintiffs have not satisfied their burden to demonstrate that any common issues predominate over individual ones.

5. Superiority

Rule 23(b)(3) requires "that a class action [be] superior to other available methods for the fair and efficient adjudication of the controversy." Four nonexclusive factors are relevant to this analysis: the interest of class members in individually controlling the litigation; the extent of any litigation already commenced by members of the class; the desirability of concentrating the litigation in this particular forum; and the likely difficulties in managing the class action. Fed. R. Civ. P. 23(b)(3)(A)-(D).

Again, Plaintiffs offer nothing but conclusory statements in support of this requirement, which is insufficient to satisfy their burden. *See Altier*, 2011 WL 3205229, at *16 ("[P]urely conclusory assertions" that a class action "would not present any managerial or administrative complexities" is insufficient to demonstrate superiority); *Foster v. St. Jude Medical, Inc.*, 229 F.R.D. 599, 606 (D. Minn. 2005) (similar "hyperbole" from plaintiffs was insufficient to establish superiority; plaintiffs had failed to include "any specificity of how class adjudication is the superior method in this particular case").

Regardless, it is clear that superiority is in fact lacking here. First, "[t]he most compelling rationale for finding superiority in a class action – the existence of a negative value suit – is missing in this case." *Castano*, 84 F.3d at 748; *Ticknor v. Rouse's Enterprises, LLC*,

592 Fed. Appx. 276, 279 (5th Cir. Nov. 18, 2014); *In re Katrina Canal Breaches Conosl. Litig.*, 258 F.R.D. at 142 (noting that individuals have a high interest in controlling their the litigation of personal-injury claims). Instead, class members assert personal injury and lost wages claims that can be pursued on individual bases.

Second, a class trial on the merits would raise intractable manageability problems given the plethora of individual issues necessary to establish each class member's claims. *See Steering Comm'ee*, 461 F.3d at 704-05 ("[T]he predominance of individual issues relating to the plaintiffs' claims . . . detracts from the superiority of the class action device in resolving those claims."); *In re FEMA*, 2008 WL 5423488, at *15 (same); *In re Vioxx*, 239 F.R.D. at 463 (same); *In re Katrina Canal Breaches Colso. Litig.*, 258 F.R.D. at 142 (the need to individually provide causation and damages precludes a finding of superiority). And Plaintiffs' suggestion that individual issues can be resolved in subsequent follow-up proceedings is hardly a panacea. *See* Mot. at 24. The Seventh Amendment entitles parties to have facts and issues decided by one jury and prohibits a second jury from re-examining those facts and issues. *Gasoline Prods. Co. v. Champion Refining Co.*, 283 U.S. 494, 499-501 (1931); *see also In re FEMA*, 2008 WL 5423488, at *15 (noting this concern in finding a class action was not the superior method of adjudication); *In re Am. Comm'l Lines*, 2002 WL 1066743, at *13 (same).

III. CONCLUSION

Plaintiffs have failed to adequately plead the circumstances supporting class certification under L.R. 23.1, let alone satisfy the rigorous burden imposed by Fed. R. Civ. P. 23. Innumerable individual inquiries regarding alleged defectiveness, causation, injury, and damages swamp common issues—to the extent any even exist in this case—which preclude findings of typicality, adequacy, predominance, and superiority. For all of the foregoing reasons, class certification is entirely inappropriate here. Plaintiffs' motion should be denied.

Respectfully submitted,

/s/ Douglas J. Moore

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hratliff@shb.com abyard@shb.com

Counsel for sanofi-aventis U.S. LLC

CERTIFICATE OF SERVICE

I hereby certify that on June 12, 2017, I electronically filed the foregoing with the Clerk of the Court using the ECF system which sent notification of such filing to all counsel of record.

/s/ Douglas J. Moore

EXHIBIT A

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF LOUISIANA

In Re: TAXOTERE (DOCETAXEL)

PRODUCTS LIABILITY

LITIGATION

SECTION "N" (5)

MDL NO. 2740

THIS DOCUMENT RELATES TO ALL CASES

PLAINTIFF FACT SHEET

This Fact Sheet must be completed by each plaintiff who has filed a lawsuit related to the use of Taxotere® by the plaintiff or a plaintiff's decedent. Please answer every question to the best of your knowledge. In completing this Fact Sheet, you are under oath and must provide information that is true and correct to the best of your knowledge. If you cannot recall all of the details requested, please provide as much information as you can. You must supplement your responses if you learn that they are incomplete or incorrect in any material respect.

In filling out this form, please use the following definitions: (1) "healthcare provider" means any hospital, clinic, medical center, physician's office, infirmary, medical or diagnostic laboratory, or other facility that provides medical, dietary, psychiatric, or psychological care or advice, and any pharmacy, weight loss center, x-ray department, laboratory, physical therapist or physical therapy department, rehabilitation specialist, physician, psychiatrist, osteopath, homeopath, chiropractor, psychologist, nutritionist, dietician, or other persons or entities involved in the evaluation, diagnosis, care, and/or treatment of the plaintiff or plaintiff's decedent; (2) "document" means any writing or record of every type that is in your possession, including but not limited to written documents, documents in electronic format, cassettes, videotapes, photographs, charts, computer discs or tapes, and x-rays, drawings, graphs, phone-records, non-identical copies, and other data compilations from which information can be obtained and translated, if necessary, by the respondent through electronic devices into reasonably usable form.

Information provided by plaintiff will only be used for purposes related to this litigation and may be disclosed only as permitted by the protective order in this litigation. This Fact Sheet is completed pursuant to the Federal Rules of Civil Procedure governing discovery (or, for state court case, the governing rules of civil of the state in which the case is pending).

I. CORE CASE INFORMATION

Attorney Information

Please provide the following information for the civil action that you filed:

Caption: SHEILA MATTHEWS, DEBRA CHETTA, and EMILY BARRE, individually and on behalf of all others similarly situated versus SANOFI S.A., AVENTIS PHARMA S.A., f/k/a/

1. behalf of all others similarly situated versus SANOFI S.A., AVENTIS PHARMA S.A., 1/k/a/SANOFI-AVENTIS U.S. INC. SANOFI-AVENTIS U.S. LLC

BARRE, EMILY A 1 Plaintiff ID 1097

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- 2. Court and Docket No.: <u>USDC EDLA 2:16-cv-17731</u>
- 3. MDL Docket No. (if different): 2740
- 4. Date Lawsuit Filed: <u>12/08/16</u>
- 5. Plaintiff's Attorney: Exnicios, Val P
- 6. Plaintiff's Law Firm: <u>Liska Exnicios Nungesser</u>
- 7. Attorney's Address: 1515 Poydras St., Ste. 1400
 - New Orleans, LA 70112
- 8. Attorney's Phone Number: (504) 410-9611
- 9. Attorney's Email Address: vpexnicios@exnicioslaw.com

Plaintiff Information

Please provide the following information for the individual on whose behalf this action was filed:

- 10. Name: BARRE, EMILY A
- 11. Street Address:
- 12. City:
- 13. State:
- 14. Zip code:
- 15. Date of Birth:
- 16. Place of Birth:
- 17. Social Security Number:
- 18. Maiden or other names you have used or by which you have been known:

- 19. Sex: Male: ☐ Female: ☒
- 20. Race:

Race	Yes		
American Indian or Alaska Native			
Asian			
Black or African American			
Native Hawaiian or Other Pacific Islander			
White			

21. Ethnicity:

Ethnicity	Yes		
Hispanic or Latino			
Not Hispanic or Latino			

22. Primary Language: English

III. PRODUCT IDENTIFICATION

I HAVE RECORDS DEMONSTRATING USE OF TAXOTERE® OR OTHER DOCETAXEL: Yes \boxtimes No \square

YOU MUST UPLOAD THEM BEFORE YOU SUBMIT THIS FACT SHEET

1	Were you	tracted r	riith h	rand name	Toxotoro	താ 🕇	Zac 🗖	No 🗖	Linknorr	☞
Ι.	were von	treated v	with n	rana name	e Taxorere	(K) / 1	restt	$N0 \sqcup$	Unknown	IXI

Other Docetaxel

- 2. Were you treated with another Docetaxel or generic Taxotere®? Yes ⊠ No □
- 3. If yes, select all that apply:

Name of Drug	Yes
Docetaxel – Winthrop	
Docetaxel – Teva Pharms USA	
Docetaxel – Dr. Reddy's Labs Ltd.	
Docetaxel – Eagle Pharms	
Docetaxel – Actavis Inc.	
Docetaxel – Pfizer Labs	
Docetaxel – Sandoz Inc.	
Docetaxel – Accord Healthcare, Inc.	
Docetaxel – Apotex Inc.	
Docetaxel – Hospira Inc.	
Docefrez – Sun Pharma Global, Inc.	
Unknown	X

4. IF YOU SELECTED "UNKNOWN" YOU MUST CERTIFY AS FOLLOWS:

I certify that I have made reasonable, good faith efforts to identify the manufacturer of the Docetaxel used in my treatment, including requesting records from my infusion pharmacy, and the manufacturer either remains unknown at this time or I am awaiting the records:

区

IV. MEDICAL INFORMATION

Vital Statistics

BARRE, EMILY A 6 Plaintiff ID 1097

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9.	Was Taxotere® or Docetaxel the only chemotherapy treatment that you ever received?
	Yes □ No ⊠ Unknown □

10.	 Have you ever been treated with other chemotherapy drug 	s, either	alone or in	combination
	with or sequentially with Taxotere® or Docetaxel? Yes ⊠	∃ No □	Unknown []

11. If yes, check which of the following chemotherapy drugs you took:

Drug	Yes
5-Fluorouracil (Eludex)	
Actinomycin	
Altretamine (Hexalen)	
Amsacrine	
Bleomycin	
Busulfan (Busulfex, Myleran)	
Cabazitaxel: Mitoxantrone	
Carboplatin (Paraplatin)	
Carmustine (BiCNU, Gliadel)	
Cetuximab (Erbitux)	
Chlorambucil (Leukeran)	
Cisplatin (Platinol)	
Cyclophosphamide (Neosar)	×
Cytarabine (Depocyt)	
Dacarbazine	
Daunorubicin (Cerubidine, DaunoXome)	
Doxorubicin (Adriamycin, Doxil)	×
Epirubicin (Ellence)	
Erlotinib (Tarceva)	
Etoposide (Etopophos, Toposar)	
Everolimus (Afinitor, Zortress)	
Faslodex (Fulvestrant)	
Gemcitabine (Gemzar)	
Hexamethylmelamine (Hexalen)	
Hydroxyurea (Hydrea, Droxia)	
Idarubicin (Idamycin)	
Ifosfamide (Ifex)	
L-asparginase (crisantaspase)	
Lomustine (Ceenu)	
Melphalan (Alkeran)	
Mercaptopurine (Purinethol, Purixan)	

BARRE, EMILY A 12 Plaintiff ID 1097

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Drug	Yes
Methotrexate (Trexall, Rasuvo)	
Mitomycin	
Mitoxantrone	
Nab-paclitaxel (Abraxane): Mitoxantrone	
Nitrogen mustard	
Paclitaxel (Taxol)	
Panitumumab (Vectibix)	
Procarbazine (Matulane)	
Sorafenib (Nexavar)	
Teniposide (Vumon)	
Thioguanine (Tabloid)	
Thiotepa (Tepadina)	
Topotecan (Hycamtin)	
Vemurafenib (Zelboraf)	
Vinblastine	
Vincristine (Mariqibo, Vincasar)	
Vindesine	
Vinorelbine (Alocrest, Navelbine)	
Unknown	

12.	Please 1	provide the	following	g information	regarding [Faxotere® o	or Docetaxe	1.

a) Number of cycles: <u>04</u>

b) Frequency: Every week ☐ Every three weeks ☒ Other:

c) First treatment date: <u>04/13/2015</u>

d) Last treatment date: <u>06/15/2015</u>

e) Dosage: <u>100 mg/m2 x 1.86 m2</u>

(1) Combined with another chemotherapy drug: \Box

(2) Sequential with another chemotherapy drug: \boxtimes

(3) If so, describe the combination or sequence: 4 cycles of AC (1/16/15 - 3/23/15) followed up with 4 cycles of Taxotere (Docetaxel) (4/13/15 - 6/15/15)

13. Prescribing Physician(s):

Prescribing Physician	Address

14. Treatment Facility:

5. State the injury you allege in this lawsuit and the dates between which you experienced the alleged injury. Check all that apply:

Alleged Injury	Yes	No	From	То
Persistent total alopecia – No hair growth on your head or body after six (6) months of discontinuing Taxotere® or Docetaxel treatment		X		
Persistent alopecia of your head – No hair growth on your head after six (6) months of discontinuing Taxotere® or Docetaxel treatment. Hair is present elsewhere on your body		X		
Permanent/Persistent Hair Loss on Scalp	×		12/15/2015	??/??/2017
Diffuse thinning of hair: partial scalp ☑ Top ☑ Sides ☐ Back ☑ Temples ☐ Other:	X		12/15/2015	??/??/2017
Diffuse thinning of hair: total scalp ☑ Top ☑ Sides ☐ Back ☑ Temples ☐ Other:	X		12/15/2015	??/??/2017
Significant thinning of the hair on your head after six (6) months of discontinuing Taxotere® or Docetaxel treatment – There are visible bald spots on your head no matter how you style your hair	X		12/15/2015	??/??/2017
Moderate thinning of the hair on your head after six (6) months of discontinuing Taxotere® or Docetaxel treatment – There is noticeable hair loss but if you brush or style your hair, the hair loss is less evident		X		
Small bald area in the hair on your head		X		
Large bald area in the hair on your head	X		12/15/2015	??/??/2017
Multiple bald spots in the hair on your head		X		
Change in the texture, thickness or color of your hair after Taxotere® or Docetaxel treatment	×		12/15/2015	??/??/2017
Other:		X		
Permanent/Persistent Loss of Eyebrows	X		12/15/2015	??/??/2017
Permanent/Persistent Loss of Eyelashes	X		12/15/2015	??/??/2017

BARRE, EMILY A 15 Plaintiff ID 1097

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Alleged Injury	Yes	No	From	То
Permanent/Persistent Loss of Body Hair		X		
Permanent/Persistent Loss of Nasal Hair		×		
Permanent/Persistent Loss of Ear Hair		×		
Permanent/Persistent Loss of Hair in Other Areas Describe:		X		

6.	Have you ever received treatment for the injury you allege in this lawsuit?
	Yes□ No⊠

Name of Treating Physician	Dates of Treatment	Treatments
	□ Present	

7. Were you diagnosed by a healthcare provider for the injury you allege in this lawsuit? Yes □ No ⊠

Name of Diagnosing Physician	Dates of Treatment	Treatments
	☐ Present	

8. Have you discussed with any healthcare provider whether Taxotere® or Docetaxel caused or contributed to your alleged injury?

Yes□ No 🗵

Name of Physician	Dates of Treatment	Treatments
	☐ Present	

Statement Information

- 9. Were you ever given any written instructions, including any prescriptions, packaging, package inserts, literature, medication guides, or dosing instructions, regarding chemotherapy, Taxotere® or Docetaxel? Yes ⊠ No □
- 10. If yes, please describe the documents, if you no longer have them. If you have the documents, please produce them:

BARRE, EMILY A 16 Plaintiff ID 1097

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Description of Document	I Have the Documents	I Do Not Have the Documents
See in medical records attached (consent for administration of chemotherapy)	\boxtimes	

- 11. Were you given any oral instructions from a healthcare provider regarding chemotherapy or your use of Taxotere® or Docetaxel? Yes ⊠ No □
- 12. If yes, please identify each healthcare provider who provided the oral instructions:

Name of Healthcare Provider

- 13. Have you ever seen any advertisements (e.g., in magazines or television commercials) for Taxotere® or Docetaxel? Yes □ No ☒
- 14. If yes, identify the advertisement or commercial, and approximately when you saw the advertisement or commercial:

Type of Advertisement or Commercial	Date of Advertisement or Commercial

- 15. Other than through your attorneys, have you had any communication, oral or written, with any of the Defendants or their representatives? Yes □ No ☒
- 16. If yes, please identify:

Date of Communication	Method of Communication	Name of Representative	Substance of Communication

17. Have you filed a MedWatch Adverse Event Report to the FDA? Yes □ No ⊠

YOU MUST UPLOAD NOW ANY MEDICAL RECORDS IN YOUR POSSESSION DEMONSTRATING ALLEGED INJURY OR PHOTOGRAPHS SHOWING YOUR HAIR BEFORE AND AFTER TREATMENT WITH TAXOTERE® ALONG WITH THE DATE(S) THE PHOTOGRAPHS WERE TAKEN.

Other Claimed Damages

- 18. Mental or Emotional Damages: Do you claim that your use of Taxotere® or Docetaxel caused or aggravated any psychiatric or psychological condition?
- 19. If yes, did you seek treatment for the psychiatric or psychological condition?

BARRE, EMILY A 17 Plaintiff ID 1097

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Provider	Date	Condition

- 20. Medical Expenses: Do you claim that you incurred medical expenses for the alleged injury that you claim was caused by Taxotere® or Docetaxel? Yes □ No ☒
- 21. If yes, list all of your medical expenses, including amounts billed or paid by insurers and other third-party payors, which are related to any alleged injury you claim was caused by Taxotere® or Docetaxel:

Provider	Date	Expense

- 22. Lost Wages: Do you claim that you lost wages or suffered impairment of earning capacity because of the alleged injury that you claim was caused by Taxotere® or Docetaxel? Yes □ No ☒
- 23. If yes, state the annual gross income you earned for each of the three (3) years before the injury you claim was caused by Taxotere® or Docetaxel.

Year	Annual Gross Income

24. State the annual gross income for every year following the injury or condition you claim was caused by Taxotere® or Docetaxel.

Year	Annual Gross Income

- 25. Out-of-Pocket Expenses: Are you making a claim for lost out-of-pocket expenses? Yes ⊠ No □
- 26. If yes, please identify and itemize all out-of-pocket expenses you have incurred:

Expense	Expense Amount
Wigs + Wig Care	\$1,692.00
Hair regrowth products	\$200.00
Titanium frame glasses	\$712.29

BARRE, EMILY A 18 Plaintiff ID 1097

VII. HAIR LOSS INFORMATION

Background	ŀ
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1.	Did you ever see a healthcare provider for hair loss BEFORE taking Taxotere® or Docetaxel? Yes □ No ⊠
2.	Did your hair loss begin during chemotherapy treatment? Yes ⊠ No □
3.	If yes, did you FIRST experience hair loss:
	a) After treatment with another chemotherapy agent: ⊠
	b) After treatment with Taxotere® or Docetaxel: □
4.	At any time before or during the hair loss were you:

Condition	Yes	Description
Pregnant		
Seriously ill		
Hospitalized		
Under severe stress		
Undergoing treatment for any other medical condition		

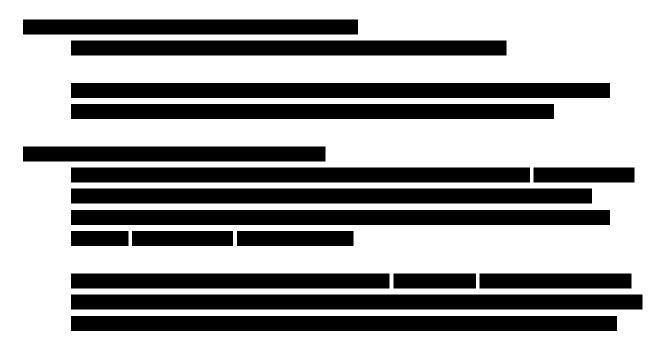
- 5. When did you FIRST discuss with or see a healthcare provider about your hair loss? 12/15/2015
- 6. Have you started any special diets at any time before or during the hair loss? Yes □ No ☒ Describe:

Hair Loss History

Question	No	Yes	Name of Healthcare Provider
Have you had a biopsy of your scalp to evaluate your hair loss problem?	X		
Have you had blood tests done to evaluate your hair loss problem?	X		
Have your hormones ever been checked to evaluate your hair loss problem?	X		
Have you ever been told by a doctor that you have a thyroid condition?	X		
Have you ever been treated with thyroid hormone?	X		
Have you ever been told by a doctor that you have a low iron level?		X	

BARRE, EMILY A 19 Plaintiff ID 1097

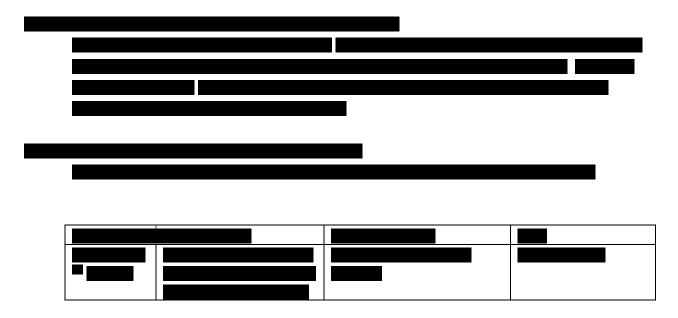
EXHIBIT B



9. VI. CLAIM INFORMATION; Question 26

Plaintiff's wig receipts are attached to this PFS. In addition to the wigs themselves, Plaintiff must spend about \$15.00 per wig to have the wig cut and styled.

Plaintiff experienced serve headaches as a result of wearing wigs with her seeing eye glasses and so, Plaintiff had to purchase new titanium frame seeing eye glasses that would lightly fit under the wig so to prevent further headaches. A copy of the receipt for the eye glasses is attached to this PFS.



Page 3
Taxotere (MDL No. 2740) Plaintiff Fact Sheet Supplemental Answers
Emily Barre (Plaintiff No.: 1097)

EXHIBIT C

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF LOUISIANA

In Re: TAXOTERE (DOCETAXEL)

PRODUCTS LIABILITY

LITIGATION

SECTION "N" (5)

MDL NO. 2740

THIS DOCUMENT RELATES TO ALL CASES

PLAINTIFF FACT SHEET

This Fact Sheet must be completed by each plaintiff who has filed a lawsuit related to the use of Taxotere® by the plaintiff or a plaintiff's decedent. Please answer every question to the best of your knowledge. In completing this Fact Sheet, you are under oath and must provide information that is true and correct to the best of your knowledge. If you cannot recall all of the details requested, please provide as much information as you can. You must supplement your responses if you learn that they are incomplete or incorrect in any material respect.

In filling out this form, please use the following definitions: (1) "healthcare provider" means any hospital, clinic, medical center, physician's office, infirmary, medical or diagnostic laboratory, or other facility that provides medical, dietary, psychiatric, or psychological care or advice, and any pharmacy, weight loss center, x-ray department, laboratory, physical therapist or physical therapy department, rehabilitation specialist, physician, psychiatrist, osteopath, homeopath, chiropractor, psychologist, nutritionist, dietician, or other persons or entities involved in the evaluation, diagnosis, care, and/or treatment of the plaintiff or plaintiff's decedent; (2) "document" means any writing or record of every type that is in your possession, including but not limited to written documents, documents in electronic format, cassettes, videotapes, photographs, charts, computer discs or tapes, and x-rays, drawings, graphs, phone-records, non-identical copies, and other data compilations from which information can be obtained and translated, if necessary, by the respondent through electronic devices into reasonably usable form.

Information provided by plaintiff will only be used for purposes related to this litigation and may be disclosed only as permitted by the protective order in this litigation. This Fact Sheet is completed pursuant to the Federal Rules of Civil Procedure governing discovery (or, for state court case, the governing rules of civil of the state in which the case is pending).

I. CORE CASE INFORMATION

Attorney Information

Please provide the following information for the civil action that you filed:

- 1. Caption: Matthews, et al vs. Sanofi S. A. Et al
- 2. Court and Docket No.: <u>2:16-cv-17731</u>
- 3. MDL Docket No. (if different):

DEBRA, CHETTA 1 Plaintiff ID 1411

Case 2:16-md-02740-KDE-MBN Document 530-3 Filed 06/12/17 Page 3 of 13

- 4. Date Lawsuit Filed: <u>December 22, 2016</u>
- 5. Plaintiff's Attorney: Andrew Geiger and Val Exnicios
- 6. Plaintiff's Law Firm: Allan Berger & Associates
- 7. Attorney's Address: 4173 Canal Street

New Orleans, LA 70119

- 8. Attorney's Phone Number: <u>(504)</u> 486-9481
- 9. Attorney's Email Address: aberger@allan-berger.com

Plaintiff Information

Please provide the following information for the individual on whose behalf this action was filed:

- 10. Name: DEBRA, CHETTA
- 11. Street Address:
- 12. City:
- 13. State:
- 14. Zip code:
- 15. Date of Birth:
- 16. Place of Birth:
- 17. Social Security Number:
- 18. Maiden or other names you have used or by which you have been known:

- 19. Sex: Male: ☐ Female: ☒
- 20. Race:

Race	Yes
American Indian or Alaska Native	
Asian	
Black or African American	
Native Hawaiian or Other Pacific Islander	
White	

21. Ethnicity:

Ethnicity	Yes
Hispanic or Latino	
Not Hispanic or Latino	

22. Primary Language: English

Representative Information

DEBRA, CHETTA 2 Plaintiff ID 1411

YOU MUST UPLOAD THEM BEFORE YOU SUBMIT THIS FACT SHEET

Taxotere®

 We 	re vou treated	l with brand	l name Ta	axotere®? `	Yes ⊠	No \square	Unknown \square
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Other Docetaxel

- 2. Were you treated with another Docetaxel or generic Taxotere®? Yes □ No ⊠
- 3. If yes, select all that apply:

Name of Drug	Yes
Docetaxel – Winthrop	
Docetaxel – Teva Pharms USA	
Docetaxel – Dr. Reddy's Labs Ltd.	
Docetaxel – Eagle Pharms	
Docetaxel – Actavis Inc.	
Docetaxel – Pfizer Labs	
Docetaxel – Sandoz Inc.	
Docetaxel – Accord Healthcare, Inc.	
Docetaxel – Apotex Inc.	
Docetaxel – Hospira Inc.	
Docefrez – Sun Pharma Global, Inc.	
Unknown	

4. IF YOU SELECTED "UNKNOWN" YOU MUST CERTIFY AS FOLLOWS:

I certify that I have made reasonable, good faith efforts to identify the manufacturer of the Docetaxel used in my treatment, including requesting records from my infusion pharmacy, and the manufacturer either remains unknown at this time or I am awaiting the records:



DEBRA, CHETTA 6 Plaintiff ID 1411

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- 10. Have you ever been treated with other chemotherapy drugs, either alone or in combination with or sequentially with Taxotere® or Docetaxel? Yes ☒ No ☐ Unknown ☐
- 11. If yes, check which of the following chemotherapy drugs you took:

Drug	Yes
5-Fluorouracil (Eludex)	
Actinomycin	
Altretamine (Hexalen)	
Amsacrine	
Bleomycin	
Busulfan (Busulfex, Myleran)	
Cabazitaxel: Mitoxantrone	
Carboplatin (Paraplatin)	X
Carmustine (BiCNU, Gliadel)	
Cetuximab (Erbitux)	
Chlorambucil (Leukeran)	
Cisplatin (Platinol)	
Cyclophosphamide (Neosar)	
Cytarabine (Depocyt)	
Dacarbazine	
Daunorubicin (Cerubidine, DaunoXome)	
Doxorubicin (Adriamycin, Doxil)	
Epirubicin (Ellence)	
Erlotinib (Tarceva)	
Etoposide (Etopophos, Toposar)	
Everolimus (Afinitor, Zortress)	
Faslodex (Fulvestrant)	

DEBRA, CHETTA 12 Plaintiff ID 1411

Drug	Yes
Gemcitabine (Gemzar)	
Hexamethylmelamine (Hexalen)	
Hydroxyurea (Hydrea, Droxia)	
Idarubicin (Idamycin)	
Ifosfamide (Ifex)	
L-asparginase (crisantaspase)	
Lomustine (Ceenu)	
Melphalan (Alkeran)	
Mercaptopurine (Purinethol, Purixan)	
Methotrexate (Trexall, Rasuvo)	
Mitomycin	
Mitoxantrone	
Nab-paclitaxel (Abraxane): Mitoxantrone	
Nitrogen mustard	
Paclitaxel (Taxol)	
Panitumumab (Vectibix)	
Procarbazine (Matulane)	
Sorafenib (Nexavar)	
Teniposide (Vumon)	
Thioguanine (Tabloid)	
Thiotepa (Tepadina)	
Topotecan (Hycamtin)	
Vemurafenib (Zelboraf)	
Vinblastine	
Vincristine (Mariqibo, Vincasar)	
Vindesine	
Vinorelbine (Alocrest, Navelbine)	
Unknown	X

12. Please provide the following information regarding Taxotere® or Docetaxel:

a) Number of cycles: <u>06</u>

b) Frequency: Every week □ Every three weeks ☒ Other:

c) First treatment date: <u>06/26/2013</u>

d) Last treatment date: 10/8/2013

e) Dosage: 80 mg

(1) Combined with another chemotherapy drug: ⊠

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- (2) Sequential with another chemotherapy drug: \Box
- (3) If so, describe the combination or sequence: with carboplatin
- 13. Prescribing Physician(s):

Prescribing Physician	Address

14. Treatment Facility:

Treatment Facility	Address

15. Identify EACH state where you resided when you began and while taking Taxotere® or Docetaxel:

State	From Date	To Date
LA	06/26/2013	10/8/2013



DEBRA, CHETTA 14 Plaintiff ID 1411

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Alleged Injury

5. State the injury you allege in this lawsuit and the dates between which you experienced the alleged injury. Check all that apply:

Alleged Injury	Yes	No	From	То
Persistent total alopecia – No hair growth on your head or body after six (6) months of discontinuing Taxotere® or Docetaxel treatment		X		
Persistent alopecia of your head – No hair growth on your head after six (6) months of discontinuing Taxotere® or Docetaxel treatment. Hair is present elsewhere on your body		X		
Permanent/Persistent Hair Loss on Scalp	X		04/8/2014	05/8/2017
Diffuse thinning of hair: partial scalp ☑ Top ☑ Sides ☑ Back ☐ Temples ☐ Other:	X		04/17/2014	05/8/2017
Diffuse thinning of hair: total scalp ☑ Top ☑ Sides ☐ Back ☐ Temples ☐ Other:	X		04/17/2014	05/8/2017
Significant thinning of the hair on your head after six (6) months of discontinuing Taxotere® or Docetaxel treatment – There are visible bald spots on your head no matter how you style your hair	X		04/17/2014	05/8/2017

DEBRA, CHETTA 15 Plaintiff ID 1411

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Alleged Injury	Yes	No	From	То
Moderate thinning of the hair on your head after six (6) months of discontinuing Taxotere® or Docetaxel treatment – There is noticeable hair loss but if you brush or style your hair, the hair loss is less evident		\boxtimes		
Small bald area in the hair on your head		X		
Large bald area in the hair on your head	×		04/17/2014	05/8/2017
Multiple bald spots in the hair on your head	×		04/17/2014	05/8/2017
Change in the texture, thickness or color of your hair after Taxotere® or Docetaxel treatment	X		04/17/2014	05/8/2017
Other:				
Permanent/Persistent Loss of Eyebrows	X		07/??/2013	05/8/2017
Permanent/Persistent Loss of Eyelashes	×		07/??/2013	05/8/2017
Permanent/Persistent Loss of Body Hair		X		
Permanent/Persistent Loss of Nasal Hair		X		
Permanent/Persistent Loss of Ear Hair		X		
Permanent/Persistent Loss of Hair in Other Areas Describe:				

Name of Treating Physician	Dates of Treatment	Treatments
	10/30/2013 to 12/11/2013	Ketoconazole 2% Shampoo Finasteride Tabs 5 mg. Rogain
	10/??/2013 to 12/19/2013	Latisse for Eyelashes

6. Have you ever received treatment for the injury you allege in this lawsuit?

Yes⊠ No □

Yes□ No ⊠

7. Were you diagnosed by a healthcare provider for the injury you allege in this lawsuit? Yes □ No ☒

Name of Diagnosing Physician	Dates of Treatment	Treatments
	☐ Present	

8.	Have you discussed with any healthcare provider whether Taxotere® or Docetaxel caused or
	contributed to your alleged injury?

DEBRA, CHETTA 16 Plaintiff ID 1411

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Name of Physician	Dates of Treatment	Treatments
	☐ Present	

Statement Information

- 9. Were you ever given any written instructions, including any prescriptions, packaging, package inserts, literature, medication guides, or dosing instructions, regarding chemotherapy, Taxotere® or Docetaxel? Yes ☒ No ☐
- 10. If yes, please describe the documents, if you no longer have them. If you have the documents, please produce them:

Description of Document	I Have the Documents	I Do Not Have the Documents
Paper hand out with information about Taxotere	X	

- 11. Were you given any oral instructions from a healthcare provider regarding chemotherapy or your use of Taxotere® or Docetaxel? Yes ⊠ No □
- 12. If yes, please identify each healthcare provider who provided the oral instructions:

Name of Healthcare Provider	

- 13. Have you ever seen any advertisements (e.g., in magazines or television commercials) for Taxotere® or Docetaxel? Yes □ No ☒
- 14. If yes, identify the advertisement or commercial, and approximately when you saw the advertisement or commercial:

Type of Advertisement or Commercial	Date of Advertisement or Commercial

- 15. Other than through your attorneys, have you had any communication, oral or written, with any of the Defendants or their representatives? Yes □ No ☒
- 16. If yes, please identify:

Date of Communication	Method of Communication	Name of Representative	Substance of Communication

DEBRA, CHETTA 17 Plaintiff ID 1411

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17. Have you filed a MedWatch Adverse Event Report to the FDA? Yes □ No ⊠

YOU MUST UPLOAD NOW ANY MEDICAL RECORDS IN YOUR POSSESSION DEMONSTRATING ALLEGED INJURY OR PHOTOGRAPHS SHOWING YOUR HAIR BEFORE AND AFTER TREATMENT WITH TAXOTERE® ALONG WITH THE DATE(S) THE PHOTOGRAPHS WERE TAKEN.

Other Claimed Damage	es
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18.	Mental or Emotional Damages: Do you claim that your use of Taxotere® or Docetaxe
	caused or aggravated any psychiatric or psychological condition? Yes ⊠ No □

19.	If yes, did you seek treatment for	the psychiatric or	psychological	condition?
	Yes□ No⊠			

Provider	Date	Condition

- 20. Medical Expenses: Do you claim that you incurred medical expenses for the alleged injury that you claim was caused by Taxotere® or Docetaxel? Yes ☒ No ☐
- 21. If yes, list all of your medical expenses, including amounts billed or paid by insurers and other third-party payors, which are related to any alleged injury you claim was caused by Taxotere® or Docetaxel:

Provider	Date	Expense
	12/7/2015	Finasteride \$7.36
	10/30/2015	Ketoconazole Shampoo \$10.00
	10/30/2015	Dr. Office Visit

- 22. Lost Wages: Do you claim that you lost wages or suffered impairment of earning capacity because of the alleged injury that you claim was caused by Taxotere® or Docetaxel? Yes □ No ☒
- 23. If yes, state the annual gross income you earned for each of the three (3) years before the injury you claim was caused by Taxotere® or Docetaxel.

Year	Annual Gross Income

24. State the annual gross income for every year following the injury or condition you claim was caused by Taxotere® or Docetaxel.

DEBRA, CHETTA 18 Plaintiff ID 1411

Year	Annual Gross Income

- 25. Out-of-Pocket Expenses: Are you making a claim for lost out-of-pocket expenses? Yes ⊠ No □
- 26. If yes, please identify and itemize all out-of-pocket expenses you have incurred:

Expense	Expense Amount
Cell-U-Plex Thick Full Hair Shampoo	\$5.29
Toppik Hair Thicker Spray	\$19.94
Toppik Hair Building Powder Fibers	\$119.96
Nioxin Diamax Thickening Treatment	\$27.99
Rogain Treatment	\$91.94
Grow Hair Growth Serum	\$34.99
Biotin Supplement Tablets	\$106.56
Latisse for Eyebrows	\$179.00
Waxing the City Eyebrow & Lash Treatment	\$46.00
Hi Brow Beauty Bar	\$40.00

VII. HAIR LOSS INFORMATION

Background

- Did you ever see a healthcare provider for hair loss BEFORE taking Taxotere® or Docetaxel?
 Yes □ No ☒
- 2. Did your hair loss begin during chemotherapy treatment? Yes ⊠ No □
- 3. If yes, did you FIRST experience hair loss:
 - a) After treatment with another chemotherapy agent: \Box
 - b) After treatment with Taxotere® or Docetaxel: ⊠
- 4. At any time before or during the hair loss were you:

Condition	Yes	Description
Pregnant		
Seriously ill		
Hospitalized		
Under severe stress		
Undergoing treatment for any other medical condition		

DEBRA, CHETTA 19 Plaintiff ID 1411

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- 5. When did you FIRST discuss with or see a healthcare provider about your hair loss? 10/28/2015
- 6. Have you started any special diets at any time before or during the hair loss? Yes □ No ☒ Describe:

Hair Loss History

Question	No	Yes	Name of Healthcare Provider
Have you had a biopsy of your scalp to evaluate your hair loss problem?	X		
Have you had blood tests done to evaluate your hair loss problem?	X		
Have your hormones ever been checked to evaluate your hair loss problem?	X		
Have you ever been told by a doctor that you have a thyroid condition?		X	Brinz, Laura
Have you ever been treated with thyroid hormone?		X	Brinz, Laura
Have you ever been told by a doctor that you have a low iron level?	X		

DEBRA, CHETTA 20 Plaintiff ID 1411

EXHIBIT D

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF LOUISIANA

In Re: TAXOTERE (DOCETAXEL)

PRODUCTS LIABILITY

LITIGATION

SECTION "N" (5)

MDL NO. 2740

THIS DOCUMENT RELATES TO ALL CASES

PLAINTIFF FACT SHEET

This Fact Sheet must be completed by each plaintiff who has filed a lawsuit related to the use of Taxotere® by the plaintiff or a plaintiff's decedent. Please answer every question to the best of your knowledge. In completing this Fact Sheet, you are under oath and must provide information that is true and correct to the best of your knowledge. If you cannot recall all of the details requested, please provide as much information as you can. You must supplement your responses if you learn that they are incomplete or incorrect in any material respect.

In filling out this form, please use the following definitions: (1) "healthcare provider" means any hospital, clinic, medical center, physician's office, infirmary, medical or diagnostic laboratory, or other facility that provides medical, dietary, psychiatric, or psychological care or advice, and any pharmacy, weight loss center, x-ray department, laboratory, physical therapist or physical therapy department, rehabilitation specialist, physician, psychiatrist, osteopath, homeopath, chiropractor, psychologist, nutritionist, dietician, or other persons or entities involved in the evaluation, diagnosis, care, and/or treatment of the plaintiff or plaintiff's decedent; (2) "document" means any writing or record of every type that is in your possession, including but not limited to written documents, documents in electronic format, cassettes, videotapes, photographs, charts, computer discs or tapes, and x-rays, drawings, graphs, phone-records, non-identical copies, and other data compilations from which information can be obtained and translated, if necessary, by the respondent through electronic devices into reasonably usable form.

Information provided by plaintiff will only be used for purposes related to this litigation and may be disclosed only as permitted by the protective order in this litigation. This Fact Sheet is completed pursuant to the Federal Rules of Civil Procedure governing discovery (or, for state court case, the governing rules of civil of the state in which the case is pending).

I. CORE CASE INFORMATION

Attorney Information

Please provide the following information for the civil action that you filed:

- 1. Caption: Matthews et al v. Sanofi S.A. et al
- 2. Court and Docket No.: <u>CDC 2:16-CV-12043</u>
- 3. MDL Docket No. (if different): 2:16-CV-17731 USDC EDLA MDL

SHEILA, MATTHEWS 1 Plaintiff ID 1474

Case 2:16-md-02740-KDE-MBN Document 530-4 Filed 06/12/17 Page 3 of 13

4. Date Lawsuit Filed: <u>December 22, 2016</u>

5. Plaintiff's Attorney: Allan Berger & Andrew Geiger

6. Plaintiff's Law Firm: Allan Berger & Associates

7. Attorney's Address: 4173 Canal Street

New Orleans, LA 70119

8. Attorney's Phone Number: <u>(504)</u> 486-9481

9. Attorney's Email Address: aberger@allan-berger.com

Plaintiff Information

Please provide the following information for the individual on whose behalf this action was filed:

10. Name: SHEILA, MATTHEWS

11. Street Address:

12. City:

13. State:

14. Zip code:

15. Date of Birth:

16. Place of Birth:

17. Social Security Number:

18. Maiden or other names you have used or by which you have been known:

19. Sex: Male: ☐ Female: ☒

20. Race:

Race	Yes	
American Indian or Alaska Native		
Asian		
Black or African American		
Native Hawaiian or Other Pacific Islander		
White		

21. Ethnicity:

Ethnicity	Yes
Hispanic or Latino	
Not Hispanic or Latino	

22. Primary Language: English

Representative Information

YOU MUST UPLOAD THEM BEFORE YOU SUBMIT THIS FACT SHEET

Taxotere®

 We 	re vou treated	l with brand	l name Ta	axotere®? `	Yes ⊠	No \square	Unknown \square
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Other Docetaxel

- 2. Were you treated with another Docetaxel or generic Taxotere®? Yes □ No ☒
- 3. If yes, select all that apply:

Name of Drug	Yes
Docetaxel – Winthrop	
Docetaxel – Teva Pharms USA	
Docetaxel – Dr. Reddy's Labs Ltd.	
Docetaxel – Eagle Pharms	
Docetaxel – Actavis Inc.	
Docetaxel – Pfizer Labs	
Docetaxel – Sandoz Inc.	
Docetaxel – Accord Healthcare, Inc.	
Docetaxel – Apotex Inc.	
Docetaxel – Hospira Inc.	
Docefrez – Sun Pharma Global, Inc.	
Unknown	

4. IF YOU SELECTED "UNKNOWN" YOU MUST CERTIFY AS FOLLOWS:

I certify that I have made reasonable, good faith efforts to identify the manufacturer of the Docetaxel used in my treatment, including requesting records from my infusion pharmacy, and the manufacturer either remains unknown at this time or I am awaiting the records:



SHEILA, MATTHEWS 6 Plaintiff ID 1474

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			. age 5 c. 10

- 10. Have you ever been treated with other chemotherapy drugs, either alone or in combination with or sequentially with Taxotere® or Docetaxel? Yes ☒ No ☐ Unknown ☐
- 11. If yes, check which of the following chemotherapy drugs you took:

Drug	Yes
5-Fluorouracil (Eludex)	
Actinomycin	
Altretamine (Hexalen)	
Amsacrine	
Bleomycin	
Busulfan (Busulfex, Myleran)	
Cabazitaxel: Mitoxantrone	
Carboplatin (Paraplatin)	
Carmustine (BiCNU, Gliadel)	
Cetuximab (Erbitux)	
Chlorambucil (Leukeran)	
Cisplatin (Platinol)	
Cyclophosphamide (Neosar)	X
Cytarabine (Depocyt)	
Dacarbazine	
Daunorubicin (Cerubidine, DaunoXome)	
Doxorubicin (Adriamycin, Doxil)	X
Epirubicin (Ellence)	
Erlotinib (Tarceva)	
Etoposide (Etopophos, Toposar)	
Everolimus (Afinitor, Zortress)	
Faslodex (Fulvestrant)	

Drug	Yes
Gemcitabine (Gemzar)	
Hexamethylmelamine (Hexalen)	
Hydroxyurea (Hydrea, Droxia)	
Idarubicin (Idamycin)	
Ifosfamide (Ifex)	
L-asparginase (crisantaspase)	
Lomustine (Ceenu)	
Melphalan (Alkeran)	
Mercaptopurine (Purinethol, Purixan)	
Methotrexate (Trexall, Rasuvo)	
Mitomycin	
Mitoxantrone	
Nab-paclitaxel (Abraxane): Mitoxantrone	
Nitrogen mustard	
Paclitaxel (Taxol)	
Panitumumab (Vectibix)	
Procarbazine (Matulane)	
Sorafenib (Nexavar)	
Teniposide (Vumon)	
Thioguanine (Tabloid)	
Thiotepa (Tepadina)	
Topotecan (Hycamtin)	
Vemurafenib (Zelboraf)	
Vinblastine	
Vincristine (Mariqibo, Vincasar)	
Vindesine	
Vinorelbine (Alocrest, Navelbine)	
Unknown	

12. Please provide the following information regarding Taxotere® or Docetaxel:

a) Number of cycles: 10

b) Frequency: Every week □ Every three weeks ☒ Other:

c) First treatment date: 11/??/2005

d) Last treatment date: <u>09/??/2009</u>

e) Dosage: <u>120mg/135mg</u>

(1) Combined with another chemotherapy drug: \Box

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- (2) Sequential with another chemotherapy drug: \Box
- (3) If so, describe the combination or sequence: Only Taxotere in 2005; Combined in 2009
- 13. Prescribing Physician(s):

Prescribing Physician	Address

14. Treatment Facility:

Treatment Facility	Address

15. Identify EACH state where you resided when you began and while taking Taxotere® or Docetaxel:

State	From Date	To Date
LA		



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Alleged Injury

5. State the injury you allege in this lawsuit and the dates between which you experienced the alleged injury. Check all that apply:

Alleged Injury	Yes	No	From	То
Persistent total alopecia – No hair growth on your head or body after six (6) months of discontinuing Taxotere® or Docetaxel treatment	_			
Persistent alopecia of your head – No hair growth on your head after six (6) months of discontinuing Taxotere® or Docetaxel treatment. Hair is present elsewhere on your body				
Permanent/Persistent Hair Loss on Scalp				
Diffuse thinning of hair: partial scalp ☑ Top ☑ Sides ☐ Back ☐ Temples ☐ Other:	X			
Diffuse thinning of hair: total scalp ☐ Top ☐ Sides ☐ Back ☐ Temples ☐ Other:				
Significant thinning of the hair on your head after six (6) months of discontinuing Taxotere® or Docetaxel treatment – There are visible bald spots on your head no matter how you style your hair	X			

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Alleged Injury	Yes	No	From	То
Moderate thinning of the hair on your head after six (6) months of discontinuing Taxotere® or Docetaxel treatment – There is noticeable hair loss but if you brush or style your hair, the hair loss is less evident				
Small bald area in the hair on your head				
Large bald area in the hair on your head				
Multiple bald spots in the hair on your head				
Change in the texture, thickness or color of your hair after Taxotere® or Docetaxel treatment	X			
Other:				
Permanent/Persistent Loss of Eyebrows	X			
Permanent/Persistent Loss of Eyelashes	X			
Permanent/Persistent Loss of Body Hair				
Permanent/Persistent Loss of Nasal Hair				
Permanent/Persistent Loss of Ear Hair				
Permanent/Persistent Loss of Hair in Other Areas Describe:				
Yes □ No ⊠ Name of Treating Physician	Dates of T	reatment	Treat	ments
Name of Treating Physician	Dates of Th	- Caunciii	Tical	
	□ Present			
7. Were you diagnosed by a healthcare pro	ovider for the	injury you	allege in this	lawsuit?
Name of Diagnosing Physician	Dates of Treatment		Treatments	
	☐ Present			
8. Have you discussed with any healthcare contributed to your alleged injury?Yes □ No ☒	provider wh	ether Taxo	otere® or Doce	taxel caused or
Name of Physician	Dates of T	reatment	Treat	ments

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☐ Present

Statement Information

9.	Were you ever given any written instructions, including any prescriptions, packaging
	package inserts, literature, medication guides, or dosing instructions, regarding
	chemotherapy, Taxotere® or Docetaxel? Yes □ No ⊠

10. If yes, please describe the documents, if you no longer have them. If you have the documents, please produce them:

Description of Document	I Have the Documents	I Do Not Have the Documents

- 11. Were you given any oral instructions from a healthcare provider regarding chemotherapy or your use of Taxotere® or Docetaxel? Yes □ No ☒
- 12. If yes, please identify each healthcare provider who provided the oral instructions:

Name of Healthcare Provider

- 13. Have you ever seen any advertisements (e.g., in magazines or television commercials) for Taxotere® or Docetaxel? Yes □ No ☒
- 14. If yes, identify the advertisement or commercial, and approximately when you saw the advertisement or commercial:

Type of Advertisement or Commercial	Date of Advertisement or Commercial

- 15. Other than through your attorneys, have you had any communication, oral or written, with any of the Defendants or their representatives? Yes □ No ☒
- 16. If yes, please identify:

Date of Communication	Method of Communication	Name of Representative	Substance of Communication

17. Have you filed a MedWatch Adverse Event Report to the FDA? Yes □ No ⊠

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YOU MUST UPLOAD NOW ANY MEDICAL RECORDS IN YOUR POSSESSION DEMONSTRATING ALLEGED INJURY OR PHOTOGRAPHS SHOWING YOUR HAIR BEFORE AND AFTER TREATMENT WITH TAXOTERE® ALONG WITH THE DATE(S) THE PHOTOGRAPHS WERE TAKEN.

Other Claimed Damage	Other (Claimed	d Damag	es
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18.	Mental or Emotional Damages: Do you claim that your use of Taxotere® or Doc	etaxel
	caused or aggravated any psychiatric or psychological condition? Yes □ No ⊠	

19.	If yes, did you seek treatment for the	psychiatric or	psychological	condition
	Yes □ No ☒			

Provider	Date	Condition

- 20. Medical Expenses: Do you claim that you incurred medical expenses for the alleged injury that you claim was caused by Taxotere® or Docetaxel? Yes □ No ☒
- 21. If yes, list all of your medical expenses, including amounts billed or paid by insurers and other third-party payors, which are related to any alleged injury you claim was caused by Taxotere® or Docetaxel:

Provider	Date	Expense

- 22. Lost Wages: Do you claim that you lost wages or suffered impairment of earning capacity because of the alleged injury that you claim was caused by Taxotere® or Docetaxel? Yes □ No ☒
- 23. If yes, state the annual gross income you earned for each of the three (3) years before the injury you claim was caused by Taxotere® or Docetaxel.

Year	Annual Gross Income

24. State the annual gross income for every year following the injury or condition you claim was caused by Taxotere® or Docetaxel.

Year	Annual Gross Income

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25.	Out-of-Pocket Expenses: Are you making a claim for lost out-of-pocket expenses?
	Yes□ No ☒

26. If yes, please identify and itemize all out-of-pocket expenses you have incurred:

Expense	Expense Amount

VII. HAIR LOSS INFORMATION

Background

1.	Did you ever see a healthcare provider for hair loss BEFORE taking Taxotere® or Docetaxel?
	Yes □ No ⊠

- 2. Did your hair loss begin during chemotherapy treatment? Yes □ No □
- 3. If yes, did you FIRST experience hair loss:
 - a) After treatment with another chemotherapy agent: \Box
 - b) After treatment with Taxotere® or Docetaxel: ⊠
- 4. At any time before or during the hair loss were you:

Condition	Yes	Description
Pregnant		
Seriously ill		
Hospitalized		
Under severe stress		
Undergoing treatment for any other medical condition		

- 5. When did you FIRST discuss with or see a healthcare provider about your hair loss?
- 6. Have you started any special diets at any time before or during the hair loss? Yes □ No □ Describe:

Hair Loss History

Question	No	Yes	Name of Healthcare Provider
Have you had a biopsy of your scalp to evaluate your hair loss problem?	X		
Have you had blood tests done to evaluate your hair loss problem?	X		
Have your hormones ever been checked to evaluate your hair loss problem?	X		

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Question	No	Yes	Name of Healthcare Provider
Have you ever been told by a doctor that you have a thyroid condition?	X		
Have you ever been treated with thyroid hormone?	X		
Have you ever been told by a doctor that you have a low iron level?	X		

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